



FORM ADV PART 2B  
BROCHURE SUPPLEMENT

**Brandon King**

Investment Adviser Representative

8421 Wayzata Boulevard, Suite 350

Minneapolis, MN 55426

(952) 956-6101

March 10, 2021

This brochure supplement (“Supplement Brochure”) dated March 10, 2021 provides information about Brandon King that supplements Gardner Advisors, Inc.’s (“GAI” or the “Firm”) Form ADV Part 2A (“Firm Brochure”). You should have received a copy of the Firm Brochure. If you did not receive GAI’s Firm Brochure or if you have any questions about the contents of this Supplement Brochure, please contact Doug King at (952) 956-6101 or [dsking@gardnerfinancialmn.com](mailto:dsking@gardnerfinancialmn.com).

Additional information about Brandon King is available on the SEC’s website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The site is searchable by a unique identifying number known as a CRD number. Brandon King’s CRD number is 6371003.

## ITEM 2: EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

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**Brandon King**

**CRD No. 6371003**

**Born: 1992**

### **Educational Background**

University of St. Thomas- Bachelor's Degree in Entrepreneurship, Minor in 2014

### **Business Experience**

03/2021 – Present	Investment Adviser Representative	Gardner Advisors, Inc.
08/2020 – Present	Operations Manager	Gardner Financial Services, Inc.
08/2020 – Present	Financial Consultant	Oakwood Capital Advisors, LLC
09/2016 – 08/2020	Internal Retirement Consultant	Allianz Life Financial Services, LLC
03/2015 – 09/2016	Junior Financial Advisor	Cetera Investment Services, LLC

### **Professional Designations, Licensing & Exams**

#### *State Securities Law Exam*

Series 65 - Uniform Investment Adviser Law Examination

Series 63 - Uniform Securities Agent State Law Examination

Series 99 – Operations Professional Examination

#### *General Industry/Products Exam*

SIE - Securities Industry Essentials Examination

Series 7 - General Securities Representative Examination

## ITEM 3: DISCIPLINARY INFORMATION

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Mr. King has never been involved in an arbitration claim of any kind or been found liable in a civil, self-regulatory organization, or administrative proceeding.

## ITEM 4: OTHER BUSINESS ACTIVITIES

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Mr. King is also a registered representative with Gardner Financial Services, Inc. (“GFS”), an SEC registered broker-dealer, member of FINRA and affiliate of Gardner Advisors, Inc. In such capacity, Mr. King sells securities through GFS and receives commissions. The potential for receipt of commissions and other compensation when Mr. Driver directs securities transactions for

client accounts through GFS may give Mr. King an incentive to recommend investment products based on the compensation received, rather than on the client's needs. However, Mr. King will only recommend securities transactions that he believes are suitable for the client's account and in the best interests of the client. Clients are not required to transact brokerage business with Mr. King. Clients should be aware that similar services are available elsewhere through entities not affiliated Mr. King or Gardner Advisors, Inc.

Additionally, Mr. King holds an insurance license in the State of Minnesota.

#### **ITEM 5: ADDITIONAL COMPENSATION**

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Mr. King receives commissions for brokerage transactions effected through affiliate Gardner Financial Services, Inc., as described in Item 4.

#### **ITEM 6: SUPERVISION**

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Doug King, as Chief Compliance Officer of Gardner Advisors, Inc., is responsible for supervision of investment adviser representatives of the Firm. He may be contacted at the phone number on this brochure supplement.